Policy on Care and Use of Vertebrate Animals in Research and Instruction

0.0 CONTENTS
1.0 Applicability
2.0 Policy
3.0 Composition and Governance of the Institutional Animal Care and Use Committee (IACUC)
4.0 Responsibilities of the Institutional Animal Care and Use Committee (IACUC)
5.0 Responsibilities of the Investigator/Instructor
6.0 Responsibilities of the Department Chair or Cognizant Administrator
7.0 The College’s Institutional Animal Care and Use Program (IACUP)

1.0 APPLICABILITY

The Board of Trustees of the College of Charleston recognizes that the proper care and management of animals used in research and instruction is essential to the well being of the animals, to the validity of research data, to the quality of instruction, and to the health and safety of those caring for and using animals. Therefore, the Board has adopted the policy and procedures herein, which are applicable to all research and instruction activities conducted at or under the auspices of the College of Charleston that involve vertebrate animals, including non-laboratory species.

2.0 POLICY

2.1 It is the policy of the Board of Trustees that use of live vertebrate animals in research and instruction shall conform to all applicable laws, rules, and regulations of the United States Government and the State of South Carolina. Furthermore, all such research and instruction must be performed in compliance with the highest standards of ethics, practice, and conduct of each of the fields or disciplines involved in each of the specific research projects or instructional activities.

2.2 To ensure compliance with regulations regarding the humane care and use of animals in research and instruction, the President shall appoint an Institutional Animal Care and Use Committee (IACUC) which meets regulatory requirements and is charged with the responsibility of ensuring the humane care and use of animals at the College.

2.3 The Board of Trustees of the College of Charleston and the Institutional Animal Care and Use Committee recognize the following regulatory authorities for the care and use of animals:

   2.3.1 The U.S. Department of Agriculture (USDA). The Animal Care (AC) section of the Animal and Plant Health Inspection Service (APHIS) of the USDA is responsible for implementing the regulations and standards promulgated by the Secretary of Agriculture under the mandate of the Animal Welfare Act. The regulations define institutional responsibility for assuring compliance with the Animal Welfare Act. The standards set minimal requirements for humane handling, housing, space, feeding, watering, sanitation, ventilation, exercise, and psychological well being of various species. Compliance requirements include annual reporting, application of standards for animal care and use during experimentation, documentation of the number of animals used, and summaries of exceptions granted for scientific necessity. USDA personnel perform unannounced inspections of institutional animal facilities.

   2.3.2 The Office for Laboratory Animal Welfare (OLAW). OLAW is responsible for the general administration and coordination of National Institutes of Health (NIH) policy regarding animal care and use. Public Health Service (PHS) awarding units may not make an award for a project involving vertebrate animals unless the institution submitting the application or proposal is on the list of institutions that have an acceptable animal welfare assurance letter on file with OLAW, and the responsible institutional official has provided verification of approval by the Institutional Animal Care and Use Committee. All records that directly relate to applications, proposals, and proposed changes in ongoing research reviewed and approved by the Animal Care Committee must be maintained for at least three years after completion of the research and must be accessible to OLAW with reasonable notice.

   2.4 The Board of Trustees of the College of Charleston and the Institutional Animal Care and Use Committee (IACUC) further recognize the following guidelines, and any others, which are subsequently officially adopted by the IACUC, for the care and use of non-laboratory animals in research and instruction.

   2.4.1 Guidelines for Use of Fishes in Field Research. American Society of Ichthyologists and Herpetologists (ASIH), American Fisheries Society (AFS), and American Institute of Fisheries Research Biologists (AIFRB); Fisheries. Available at http://www.asih.org/pubs/fishguide.html.

   2.4.2 Guidelines for Use of Live Amphibians and Reptiles in Field Research. American Society of Ichthyologists and Herpetologists (ASIH), The Herpetologists’ League (HL), and Society for the Study of Amphibians and Reptiles (SSAR). Available at http://www.asih.org/pubs/herpcoli.html.


   2.4.4 Guidelines for the Capture, Handling, and Care of Mammals. The American Society of Mammalogists (ASM); 1998. Available at http://www.mammalsociety.org/committees/commanimalcareuse/98acucguidelines.PDF.

   2.4.5 Guidelines for Ethical Conduct in the Care and Use of Animals. Developed by the American Psychological Association’s Committee on Animal Research and Ethics (CARE), 2003. Available at http://www.apa.org/science/anguide.html.

3.0 COMPOSITION AND GOVERNANCE OF THE INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC)

3.1 The Institutional Animal Care and Use Committee (IACUC) shall be appointed by the President and shall advise the Senior Vice President for
4.0 RESPONSIBILITIES OF THE INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC)

4.1 The Institutional Animal Care and Use Committee shall conduct semi-annual reviews of the College’s Institutional Animal Care and Use Program (IACUP) and shall report findings to the Senior Vice President for Academic Affairs.

4.2 The IACUC shall conduct semi-annual inspections of all of the College’s animal facilities, including satellite facilities, and shall report findings to the Senior Vice President for Academic Affairs.

4.3 The IACUC shall receive and review concerns or complaints reported by faculty, staff, students, or members of the general public concerning the care and use of animals at the College of Charleston.

4.4 The IACUC may make written recommendations to the Senior Vice President for Academic Affairs regarding any aspect of the College’s animal care and use program, facilities, or personnel training.
4.5 The IACUC shall review all research and instruction protocols involving vertebrate animals conducted at, or under the auspices of, any unit of the College of Charleston, whether or not supported by an external agency. The following types of projects are subject to IACUC review and approval:

4.5.1. Externally or Internally Funded Research Proposals. Prior to submitting a grant proposal for extramural or intramural funding, or as soon thereafter as possible, the investigator must submit an Animal Care and Use Protocol Review Application (ACUPRA). No animals may be acquired for research or instruction before review and approval of the protocol by the IACUC.

4.5.2 Independent Faculty Research or Laboratory Exercises. All independent faculty research with vertebrate animals, including pilot experiments conducted to obtain data necessary to the preparation of extramural grant proposals, and other laboratory exercises require approval of the experimental protocols and husbandry methods by the IACUC before being initiated.

4.5.3 Field Research and Biological Surveys. All fieldwork involving vertebrate species, whether research or instruction, must be approved by the IACUC prior to initiation. Particular attention must be given to activities that may involve animals that are on the State or Federal threatened, endangered, or protected species lists, that require special permits for handling, or that involve use of equipment and procedures that may be construed as some inhumane.

4.5.4 Independent Student Research (excluding Bachelor’s Essay and Master’s Thesis). Faculty members who supervise independent research projects may submit a set of procedures for approval by the IACUC from which the student may select to address the independent research question identified. Procedures not included in those approved for the class instructor and specific for the laboratory will require submission of an independent request for protocol evaluation. Students should be made aware by the faculty member that this may delay their research and may adversely affect their ability to complete the course in the prescribed time interval.

4.5.5 Bachelor’s Essay and Master’s Thesis Research. All Bachelor’s Essay and Master’s Thesis research protocols, including pilot or exploratory research, must be approved by the IACUC prior to initiation of the work with animals. Student submissions must be cosigned by their major professor or advisor, who will have ultimate responsibility for proper training of the student in the care and handling of the animals and in any specialized techniques required for the research.

4.5.6 Classroom Instruction. All classroom instruction activities involving the use of live vertebrate animals must be approved by the IACUC prior to initiation.

4.5.7 General Animal Care and Use Protocols. The Investigator or instructor responsible for general animal care and use in any facility must submit an Animal Care and Use Protocol Review Application (ACUPRA) for these tasks. Included under this project classification are procedures for animal display facilities and animal breeding programs. These submissions must include a list of the species to be maintained and an estimate of the numbers of each animal bred or used annually. If animals maintained or produced under such an approval are transferred to an Investigator for use in experiments or to an instructor for educational purposes, the investigator or instructor must have a valid IACUC approved project for use and maintenance of the transferred animals and must notify the IACUC of the species and number of animals transferred.

4.6 The use of vertebrate animals in research or instruction covered by this policy will not be permitted until the IACUC has reviewed and approved the protocol. The IACUC will not normally consider any requests for retroactive approvals.

4.7 The IACUC shall exercise its responsibility and authority to approve, withhold approval of, or require changes in research or instructional protocols involving vertebrate animals in accordance with Federal regulations and accepted guidelines.

4.8 The IACUC shall review, approve, withhold approval of, or require modifications to proposed significant changes regarding the use of vertebrate animals in previously approved research or instruction protocols.

4.9 The IACUC shall notify the investigator or instructor, the Office of Research and Grants Administration, the Department Chair/Cognizant Administrator, and the Senior Vice President for Academic Affairs in writing of decisions to approve, withhold approval of, or require modifications to those proposed protocols reviewed.

4.10 The IACUC may suspend any activity involving vertebrate animals that is not being conducted in compliance with applicable provisions of Federal or State law or College policy or in accordance with a protocol approved by IACUC.

4.11 The IACUC shall report suspended activities or continuing or serious non-compliance with the requirements of this policy to the investigator/s’ instructor’s Department Chair and Dean and the Senior Vice President for Academic Affairs and to Federal authorities as required by the Federal Animal Welfare Act and the Public Health Service Policy on Humane Care and Use of Laboratory Animals and to any applicable funding agency.

4.12 When research or instruction involving vertebrate animals is conducted through a cooperative project at, or in cooperation with, another entity, all provisions of this policy remain in effect. The College of Charleston IACUC may accept, for the purpose of meeting the IACUC review requirements, the review of an IACUC established in accordance with U.S. Department of Agriculture and U.S. Department of Health and Human Services, Public Health Service, rules and regulations. Such acceptance must be in writing and must be signed by the Chairs of the Institutional Animal Care and Use Committees at each of the cooperating institutions.

5.0 RESPONSIBILITIES OF THE INVESTIGATOR/INSTRUCTOR

5.1 The investigator/instructor who anticipates using vertebrate animals should become knowledgeable about, and conduct all research and instruction in accordance with, approved policies governing the care and use of animals.

5.2 The investigator/instructor should participate in continuing education and training programs designed to keep animal users abreast of the latest regulations and procedures. The investigator/instructor should also emphasize the role of animals in their studies when presenting research results or discussing human and animal diseases with lay audiences and should describe the contributions of humanely conducted animal studies to the development of new technologies and treatment capabilities.

5.3 The investigator/instructor should maintain a scholarly, sensitive, and respectful environment during all experimentation and instructional activities involving the use of animals.

5.4 The investigator seeking external funding should indicate the involvement of vertebrate animals on the College’s Office of Research and Grants
The responsibilities and authority of the Director of the Institutional Animal Care and Use Program include:

- Supervising the operation of all animal facilities, including direct supervision of animal care staff and provision of all animal care.
- Interpreting Federal and State laws, regulations, policies and guidelines concerning the utilization of animals in research and teaching activities.
- Developing and documenting all procedures and working policies pertaining to the animal care and use program and facility management.
- Coordinating and monitoring all vertebrate animal procurement and ensuring that appropriate permits and transportation arrangements are completed properly.
- Maintaining an information resource center pertaining to the utilization of animals.
- Providing information and services to investigators regarding requirements and procedures necessary to achieve a level of animal
care and use that meets or exceeds contemporary professional standards and Federal and State regulations.

7.2.7 Sponsoring and coordinating seminars and training programs for campus faculty, staff, and students on topics of appropriate animal utilization and care as required by Federal law.

7.2.8 Providing a consulting service to investigators on matters of animal research, including housing; appropriate methods of handling and restraint; selection of analgesics, anesthetics, and tranquilizers; and methods of euthanasia.

7.2.9 Monitoring and providing recommendations on all aspects of surgery programs, including preoperative procedures, surgical techniques, and postoperative care.

7.2.10 Ensuring that appropriate monitoring programs are implemented to detect and treat ill or injured animals.

7.2.11 Providing or otherwise ensuring the provision of veterinary care services to university owned and/or housed animals.

7.2.12 Ensuring that the Attending Veterinarian is contacted whenever it appears that veterinary services are necessary.

7.2.13 Making independent emergency decisions concerning the treatment regimen of individual animals found to be experiencing unalleviated pain or suffering, and ensuring that these animals are provided appropriate veterinary care or are humanely euthanized, unless this is in direct conflict with experimental goals that have been previously described in a protocol approved by the IACUC. (Whenever possible, the investigator will be consulted prior to initiating treatment or euthanasia. In all cases, however, the welfare of the animal will be the primary consideration.)

7.2.14 Suspending activities that do not comply with this policy until they can be reviewed by the IACUC.

7.2.15 Immediately reporting suspended activities to the Senior Vice President for Academic Affairs, the Director of Research and Grants Administration, and the Chair of the IACUC for resolution.

7.2.16 Serving as a consultant to the IACUC.

7.2.17 Coordinating semiannual inspections of animal facilities by the IACUC.

7.2.18 Hosting and escorting persons inspecting the animal facilities (e.g., U.S. Department of Agriculture inspectors).

7.2.19 Developing and submitting annual plans and budget requests for the Animal Care and Use Program to the Director of Research and Grants Administration.

7.2.20 Preparing annual reports, Letters of Assurance, and Applications for Registration as required by the USDA and PHS.

7.2.21 Acting as a liaison between faculty, university administrators, the IACUC and regulatory and funding agencies concerning animal welfare and use activities.

Sponsor: Institutional Animal Care & Use Committee Date: 02-24-00
Review: Director of Research and Grants Administration Date: 02-29-00
Interim Approval: President Date: 03-01-00
Approval: College of Charleston Board of Trustees Date: 04-19-00
Administrative Update: Office of Research & Grants Administration Date: 03-26-03